



PATENT
Attorney Docket No. 1215

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew et al.

Group Art Unit: 2132

Serial No. 09/870,411

Examiner: Not Assigned

Filed: May 29, 2001

For: Encrypting File System and Method

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated June 13, 2001. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$710.00, additional claims fees in the amount of \$232.00, an Executed Declaration of inventors, a Credit Card Payment form and a copy of the Notice to File Missing Parts of Application.

The total fee due is:

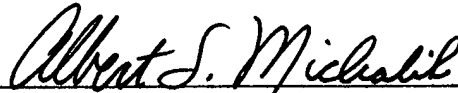
| | |
|-----------------------|-----------------|
| Basic Filing Fee | \$710.00 |
| Additional Claim Fees | \$232.00 |
| Surcharge | <u>\$130.00</u> |

Total Fee Due: \$1072.00

In re Application of ANDREW et al.
Serial No. 09/870,411

- ☒ The Patent Office is hereby authorized to charge \$1072.00 to the credit card listed on the enclosed Credit Card Payment Form.
- ☒ The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,

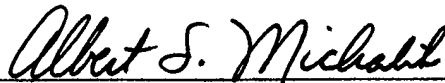


Albert S. Michalik, Reg. No. 37,395
Michalik & Wylie, PLLC
14645 Bel-Red Road
Suite # 103
Bellevue, WA 98007
(425) 653-3533 (telephone)
(425) 653-3603 (facsimile)

CERTIFICATE OF MAILING

I hereby certify that this response, Executed Declaration of Inventors, Credit Card Payment Form and copy of the Notice to File Missing Parts and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Aug. 13, 2001



Albert S. Michalik



Receipt \$
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PATENT
Attorney Docket No. 1215

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew et al.

Group Art Unit: 2132

Serial No. 09/870,411

Examiner: Not Assigned

Filed: May 29, 2001

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REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official corrected filing receipt received from the Patent Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

Applicants submit that there is an error with respect to the fifth inventor's name and address. "Mike Lai, Redmond, WA" should be listed as "Mike K. Lai, Bellevue, WA". In addition, pursuant to the attached Declaration document, one additional inventor should be listed, as follows: "Klaus U. Schutz, Kirkland, WA". A copy of the filing receipt with these corrections and additions in red ink is enclosed herewith. The Patent Office is hereby authorized to charge any fees associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,

By Albert S. Michalik
Albert S. Michalik, Reg. No. 37,395
One of the Attorneys for Applicant(s)
14645 Bel-Red Road, Suite 103
Bellevue, WA 98007
(425) 653-3520

In re Appln. of ANDREW et al.
Serial No. 09/870,411

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this REQUEST FOR CORRECTED FILING RECEIPT is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below:

Date: 8/13/01 By: Albert S. Michalski

1215 Req for Corrected filing receipt



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/870,411 | 05/29/2001 | 2132 | 0.00 | 1215 | 20 | 24 | 5 |

CONFIRMATION NO. 8015

FILING RECEIPT



OC000000006175983

MICHALIK & WYLIE PLLC
Suite 103
14645 Bel-Red Road
Bellevue, WA 98007

Date Mailed: 06/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brian Andrew, Redmond, WA;
Jianrong Gu, Bellevue, WA;
Mark J. Zbikowski, Woodinville, WA;
Praerit Garg, Kirkland, WA;
~~Mike Lai, Redmond, WA;~~ **MIKE K. LAI, BELLEVUE, WA**
Wesley Witt, Redmond, WA;
KLAUS U. SCHUTZ, KIRKLAND, WA

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 08/931,774 09/16/1997 PAT 6,249,866

Foreign Applications

If Required, Foreign Filing License Granted 06/12/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/870,411 | 05/29/2001 | Brian Andrew | 1215 |

CONFIRMATION NO. 8015

FORMALITIES LETTER



OC000000006175984

MICHALIK & WYLIE PLLC
Suite 103
14645 Bel-Red Road
Bellevue, WA 98007

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/21/2001 MABDI1 00000012 09870411

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 OP
02 FC:102 160.00 OP
03 FC:103 72.00 OP
04 FC:105 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$232.
 - \$72 for 4 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1072.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE